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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/815,229 Filing Date TRANSMITTAL March 30, 2004 First Named Inventor **FORM** Michael Frederick Kenrich

Art Unit 2121 **Examiner Name** Unknown (to be used for all correspondence after initial filing) Attorney Docket Number PA2944US

			EN	CLOCUDED (a) (***		
			EN	CLOSURES (Check	all that apply	2	-
	Fee Tran	smittal Form		Drawing(s)			After Allowance Communication to TC
		ee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences
	Extension Express A Information Certified Documer Reply to Incomple	ent/Reply fiter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority ht(s) Missing Parts/ te Application eply to Missing Parts nder 37 CFR 1.52 or 1.53	—	Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on marks number of pages does not ince	e Address	2) S	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): onfirmation Postcard; tatement under 37 CFR 3.73(b)
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			ENT				
Firm Name		Cust. N	lo. 22830				
Ľ	Signature Luxan D		0.				
Printe	d name	Susan Yee					
Date		February 10, 2005			Reg. No.	41,388	3
		C	ERTIF	ICATE OF TRANSMIS	SION/MAI	IING	

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Signature

the date shown below:

Susan Yee, Reg. No. 41,388 Typed or printed name

Date February 10, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNEE:

PSS Systems, Inc.

TITLE:

See Schedule A

U.S. APPL. NO.:

See Schedule A

FILING DATE:

See Schedule A

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY

I, the undersigned, **Deidre Paknad**, President and Chief Executive Officer for **PSS Systems Inc.**, the assignee of the entire right, title and interest in the United States patent applications and patents referenced in Schedule A, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the U.S. patent applications and patents referenced in Schedule A. I hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute these applications and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to these applications to the following correspondence address:

CARR & FERRELL LLP 2200 Geng Road Palo Alto, CA 94303 TEL: 650.812.3400

FAX: 650.812.3444

Date: 1/31 C

Deidre Paknad

Respectfully submitted,

President and Chief Executive Officer

PSS Systems, Inc.



Schedule A

		A Hooties No	Filod/	Assionment	Assignment Made To:
Our Ref.	Title	Application No.	r IIca	rasalgament.	
		/ Patent No.	Issued	Reel/Frame	
21 10086 A d	Multi-Route Client-Server Architecture	09/425,382	10/22/1999	011695/0080	NetI;
1 7267700		6 633 901	10/14/2003	012653/0350	then to SecretSEAL Inc.,
				013515/0565	then to Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2900US	Method and Architecture for Providing	10/076,254	2/12/2002	013905/0194	PSS Systems, Inc.
	Pervasive Security to Digital Assets				
PA290111S	Managing Secured Files in Designated	10/201,756	7/22/2002	CON of	Refer to 10/076,254.
2010/211	Locations		•	10/076,254; no	
				separate	
				assignment	
91 1COOC 4 G	System and Method for Providing	10/076.181	2/12/2002	012593/0248	SecretSEAL Inc., then to
FA230203	Distributed Access Control to Secured			015539/0022	Pervasive Security
	Items				Systems, Inc.,
			•	015530/0673	then to PSS Systems, Inc.
DI 12002118	System and Method for Providing	10/075 194	2/12/2002	012592/0434	SecretSEAL Inc., then to
F A 2303 03	Multi-Location Access Management to			015539/0022	Pervasive Security
	Secured Items				Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
91 1000 A C	Secured Data Format for Access	10/074.804	2/12/2002	012623/0795	SecretSEAL Inc., then to
LA220403	Control			015539/0022	Pervasive Security
					Systems, Inc.,
			-	015530/0673	then to PSS Systems, Inc.

Our Ref.	Tifle	Application No.	Filed/	Assignment	Assignment Made To:
)		/ Patent No.	Issued	Reel/Frame	
PA2905US	Method and Apparatus for Securing	10/074,996	02/12/2002	012612/0075	SecretSEAL Inc., then to
	Electronic Data			015539/0022	Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA290611S	Method and Apparatus for Accessing	10/074,825	02/12/2002	012617/0190	SecretSEAL Inc., then to
	Secured Electronic Data Off-line			015539/0022	Pervasive Security
			•		Systems, Inc.,
				015530/00/3	men to Foo Systems, me.
PA2907US	Method and System for Restricting Use	10/028,397	12/21/2002	012403/0941	SecretSEAL Inc., then to
				015539/0022	Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2908US	System and Method for Providing	10/105,532	3/20/2002	013935/0224	PSS Systems, Inc.
	Different Levels of Key Security for				
	Controlling Access to Secured Items				
PA2909US	Dynamic Evaluation of Access Rights	10/127,109	4/22/2002	012968/0789	Pervasive Security
					Systems, Inc., then to
·				015530/0673	PSS Systems, Inc.
PA2910US	Method and System for Providing	10/132,712	4/26/2002	013905/0143	PSS Systems, Inc.
	Manageability to Security Information		,		
	for Secured Items				
PA2911US	System and Method for Providing	10/206,486	7/25/2002	CON of	Refer to 10/132,712.
	Manageability to Security Information			10/132,712	
DA2012118	System and Method for Imposing	10/146 207	5/14/2002	013930/0469	PSS Systems, Inc.
1.0471400	Security on Copies of Secured Items				
PA2913US	Indications of Secured Digital Assets	10/124,179	4/17/2002	012968/0824	Pervasive Security
					Systems, Inc., then to
				015530/0673	PSS Systems, Inc.

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2914US	Method and Apparatus for Securing	10/159,537	5/31/2002	012975/0878	Pervasive Security
	Digital Assets				Systems, Inc., then to
				014967/0825	PSS Systems, Inc.
PA291511S	Method and Architecture for Providing	10/286,575	11/1/2002	013508/0620	Pervasive Security
	Access to Secured Data From Non-				Systems, Inc., then to
	secured Clients			014967/0825	PSS Systems, Inc.
PA291611S	Method and System for Protecting	10/159,220	5/31/2002	012961/0718	Pervasive Security
	Electronic Data in Enterprise				Systems, Inc., then to
	Environment			014967/0825	PSS Systems, Inc.
PA291711S	Effectuating Access Policy Changes to	10/259,075	9/27/2002	013357/0157	Pervasive Security
	Designated Places for Secured Files				Systems, Inc., then to
)			014967/0825	PSS Systems, Inc.
PA291811S	Method and System for Implementing	10/186,203	6/26/2002	013206/0113	Pervasive Security
)	Changes to Security Policies in a	`			Systems, Inc., then to
	Distributed Security System			014967/0825	PSS Systems, Inc.
PA291911S	Method and System for Updating Keys	10/206,737	7/26/2002	013162/0122	Pervasive Security
	in a Distributed Security System				Systems, Inc., then to
		-		014967/0825	PSS Systems, Inc.
PA2920US	Security System for Generating Keys	10/246,079	9/17/2002	013304/0243	Pervasive Security
	from Access Rules in a Decentralized				Systems, Inc., then to
	Manner and Methods Therefor	•		014967/0825	PSS Systems, Inc.
PA292IUS	Hybrid Systems for Securing Digital	10/325,013	12/20/2002	013709/0017	PSS Systems, Inc.
	Assets			10,010,010	טטט טייידיייט טטע
PA2922US	Method and Apparatus for Securing/Unsecuring Files by File	10/325,102	12/20/2002	013/10/014/	Poo oystems, mc.
	Crawling				
PA2923US	Guaranteed Delivery of Changes to	10/186,204	6/26/2002	013218/0644	Pervasive Security
	Security Policies in a Distributed		,		Systems, Inc., then to
	System			013959/0467	PSS Systems, Inc.

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2924US	Managing File Access Via a Designated	10/259,078	9/27/2002	013918/0638	PSS Systems, Inc.
	Flace		000000000000000000000000000000000000000	212240/062	D
PA2925US	Document Security System that Permits	10/262,218	3/30/2002	013349/0057	rervasive Security
	External Users to Gain Access to				Systems, Inc., then to
	Secured Files			013959/0467	PSS Systems, Inc.
PA292611S	Method and System for Protecting	10/242,185	9/11/2002	013289/0204	Pervasive Security
	Encrypted Files Transmitted Over a				Systems, Inc., then to
	Network			015530/0673	PSS Systems, Inc.
PA292711S	Security System that Uses Indirect	10/286,524	11/1/2002	013451/0961	Pervasive Security
	Password-Based Encryption				Systems, Inc., then to
			•	015530/0673	PSS Systems, Inc.
PA292811S	Security System Using Indirect Key	10/295,363	11/15/2002	013710/0139	Pervasive Security
)	Generation from Access Rules and				Systems, Inc., then to
	Methods Therefor			015530/0673	PSS Systems, Inc.
PA2929US	Security System with Staging Capabilities	10/327,320	12/20/2002	013710/0129	PSS Systems, Inc.
PA2930US	Multi-Level Cryptographic	10/404,566	3/31/2003	Assignment	PSS Systems, Inc.
	I ransformations for Securing Digital			signed	,
	Assets		٠	5/20/2005 and	
				IIIed Witii	
				application; no	
				reel/frame	
				information	
PA2931US	Method and Apparatus for Uniquely	10/368,277	2/18/2003	014477/0634	PSS Systems, Inc.
	Identifying Files				
PA2932US	Method and System for Securing	10/405,587	4/1/2003	None found	
	Digital Assets Using Content Type				
	Designations				

Our Dof	T. T.	Application No.	Filed/	Assignment	Assignment Made To:
i veri		/ Patent No.	Issued	Reel/Frame	
PA2933US	Method and System for Managing	10/445,657	5/27/2003	014124/0642	PSS Systems, Inc.
	Security Liers	700 011701	2000/00/3	014134/0170	DOG Systems Inc
PA2934US	Method and System for Using Remote Headers to Secure Electronic Files	10/448,806	5/30/2003	014134/01/0	roo oysteins, me.
PA2935US	Method and System for Enabling Users	10/610,832	6/30/2003	014270/0103	PSS Systems, Inc.
	of a Group Shared Across Multiple File				
	Security Systems to Access Secured				
	Files		00000	01110000110	חולת מיייליייל וייי
PA2936US	Method and System for Fault-Tolerant Transfer of Files Across a Network	10/642,041	8/15/2003	014408/04/0	roo oystems, mc.
PA2937US	Method and System for Securing	10/677,049	9/30/2003	014575/0301	PSS Systems, Inc.
	Digital Assets Using Process-Driven				
	Security Policies				5 5 5 6
PA2938US	Method and Apparatus for	10/676,474	9/30/2003	014570/0346.	PSS Systems, Inc.
	Transitioning Between States of				
	Security Policies Used to Secure				
	Electronic Documents				
PA2939US	Method and System for Securing	10/676,850	9/30/2003	014367/0687	PSS Systems, Inc.
•	Digital Assets Using Time-Based				
	Security Criteria			70001207130	7 1 2 2 1 2 2 1 2 2 2 2 2 2 2 2 2 2 2 2
PA2940US	Method and System for Proxy Approval	10/690,243	10/20/2003	014625/0024	FSS Systems, Inc.
	of Security Changes for a File Security				
	System			2000,000,00	7.000
PA2941US	Method and System for Distribution of	10/742,710	12/19/2003	014833/0983	PSS Systems, Inc.
	Notifications in File Security Systems		,		2 226
PA2942US	Method and System for Validating	10/745,183	12/22/2003	014853/0855	PSS Systems, Inc.
	Timestamps			100000000000000000000000000000000000000	2-1
PA2943US	Method and System for Providing	10/815,251	3/30/2004	015180/0354	roo oystems, inc.
	Document Ketention Osing Cryptography				
	of JproBraphi)				

Our Ref. Ti					
	Title	Application No.	Filed/	Assignment	Assignment Made 10:
		/ Patent No.	Issued	Reel/Frame	
PA2944US Me	Method and System for Providing	10/815,229	3/30/2004	015180/0533	PSS Systems, Inc.
	Cryptographic Document Retention				
wi	with Off-Line Access		-		\$ 4 H
PA2945US Mt	Multi-Level File Digests	10/894,493	7/19/2004	Assignment	PSS Systems, Inc.
		-		signed // //2004	

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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: PSS Systems, Inc.
Application No./Patent No.: 10/815,229 Filed/Issue Date: 3/30/2004
Entitled: Method and System for Providing Cryptographic Document Retention with Off-Line Access
PSS Systems. Inc. , a <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is %
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015180 , Frame 0533 , or for which a copy thereof is attached. OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
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Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Signature Date
<u>Deidre Paknad</u> (650) 496-2300
Printed or Typed Name Telephone Number
President and CEO
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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